



# BV FA MODULAR CHECKLIST-1 DAY (FAMILY DOLLAR SERVICES, INC.)

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## Performance Overview

### Audit Details

BV Ref:	10170900352		
Auditor:	VALLEY GUO		
Audit Date:	Apr 21, 2023		
Date of Previous Audit:	NIL		
Previous Audit #:	NIL		
Vendor Name:	ACTIVE ASIA LIMITED		
Factory Name:	Shenzhen Greatfavian Electronic Company Limited		
Address:	5F, 6th Building, Tongfuyu Industrial Park, Lezhujiao, Jiuwei, Xixiang Town, Bao'An District Shenzhen City, Guangdong Province, China		
E-Mail Address:	LILY@LEDGIFTSUPPLIER.COM		
Tel:	86-755-88824458	Fax: 86-755-88824459	
Country:	CHINA		

### Scope of Audit

Coiling, SMT, Assembly and packing of decoration products, such as lighting glass, decoration lighting, etc.

### Audit Category

Decoration products, such as lighting glass, decoration lighting, etc.

### Key Personnel

Name	Job Title	Present at Audit (please tick 'X' below)			
		Opening Meeting	On-site Audit	Documentation Review	Closing Meeting
Mr. Li Yong	Factory Manager	X	X	X	X
Ms. Feng Xia	Sales Manager	X			X

### Audit Results

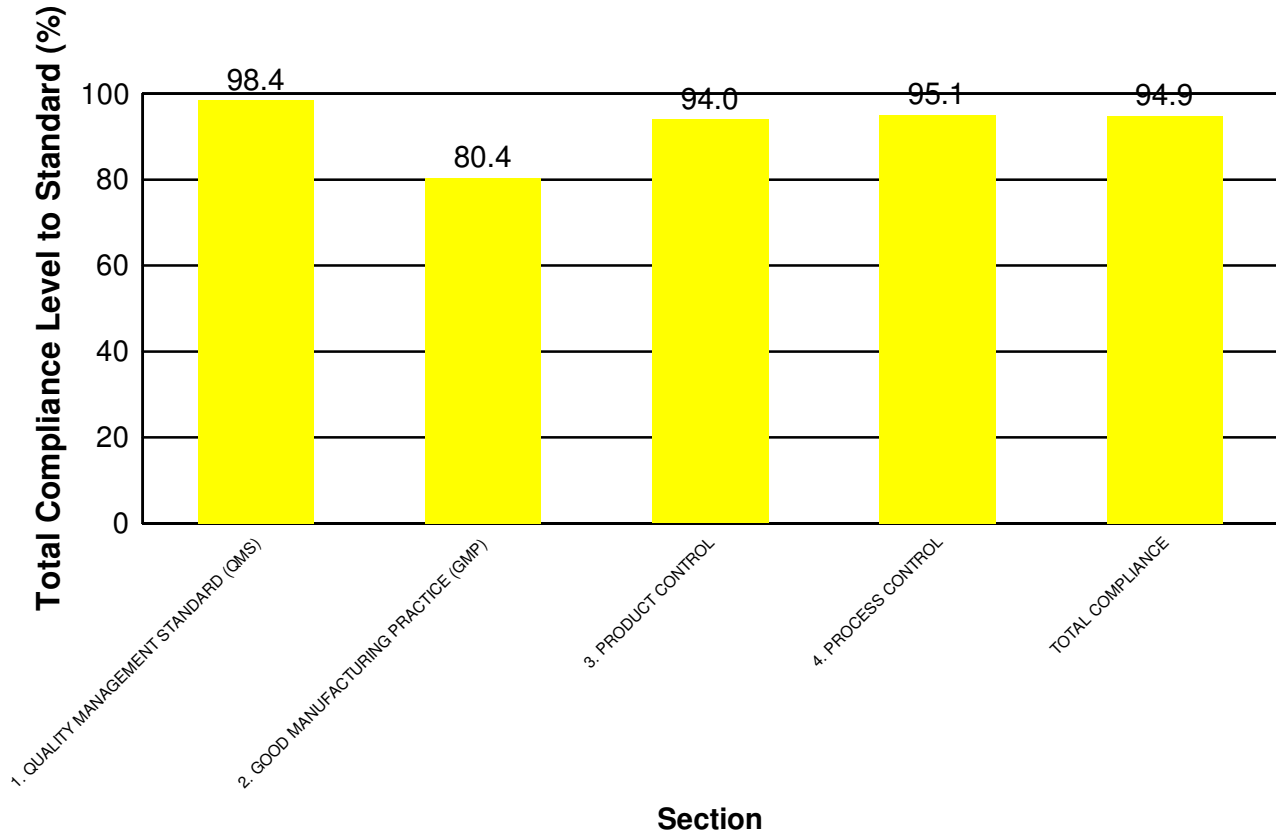
Total Compliance Level to Standard	Non Conformance(s)			Risk Level for Supply	Follow-up frequency
	Critical(s)	Major(s)	Minor(s)		
94.87%	0	0	11	YELLOW	12 MONTHLY FOLLOW-UP

## Company Profile

- ~Factory established: May 23, 2011.
- ~Main manufacturing processes: Coiling, SMT, Assembly and packing.
- ~Product category: Decoration products, such as lighting glass, decoration lighting, etc.
- ~Factory area: About 1,380 square meters.
- ~Employee number: 76 employees.
- ~Production capacity: 300,000 pieces per month and the lead time was about 15 days.
- ~International certification: Nil.
- ~Peak season: Not obviously.
- ~Major market: USA.
- ~Major customer: K-mart, Wal-Mart, Disney, etc.
- ~The product liability insurance and recall insurance were not purchased.
- ~Working Days: 6 days per week, Monday to Friday, Saturday was as overtime.
- ~Working time schedule: 1 shift/day, 8 hours per shift (07:30~11:30, 13:30~17:30)

# Analysis of Site Compliance

## Audit Score Overview



NB: Total Compliance Color reflects "Risk level for supply"

## Degree of compliance Overview (per section)

Section	Green	Yellow	Orange	Red	N/A	Total Questions
1. Quality Management Standard (Qms)	20 (95.24%)	1 (4.76%)	0 (0.00%)	0 (0.00%)	0 (0.00%)	21
2. Good Manufacturing Practice (Gmp)	12 (70.59%)	5 (29.41%)	0 (0.00%)	0 (0.00%)	0 (0.00%)	17
3. Product Control	16 (69.57%)	3 (13.04%)	0 (0.00%)	0 (0.00%)	4 (17.39%)	23
4. Process Control	21 (91.30%)	2 (8.70%)	0 (0.00%)	0 (0.00%)	0 (0.00%)	23
<b>OVERALL</b>	<b>69 (82.14%)</b>	<b>11 (13.10%)</b>	<b>0 (0.00%)</b>	<b>0 (0.00%)</b>	<b>4 (4.76%)</b>	<b>84 (100%)</b>

### Audit Findings Summary Critical Non-conformance Section

Clause No.	Clause Requirement	Levels of Non-Conformance	Audit Findings
NIL			

**Please submit a corrective action plan within 14 days after the audit for the nonconformance's mentioned in the above and underneath sections.**

## Audit Findings Summary

Clause No.	Clause Requirement	Levels of Non-Conformance	Audit Findings
<b>1</b>	<b>QUALITY MANAGEMENT STANDARD (QMS)</b>		
1.3.1	Raw materials (including packaging), work in progress and finished products shall be identified to ensure traceability.	Minor (YELLOW)	Most of raw materials, work in progress and finished products were properly identified, but some metal materials in hardware and electronic materials warehouse and some packaging materials in packaging materials warehouse were not well identified with PO number, quantity, specification, incoming date, etc., which could not ensure the effective traceability.
<b>2</b>	<b>GOOD MANUFACTURING PRACTICE (GMP)</b>		
2.4.3	The safety or legality of products are not jeopardised during maintenance operations.	Minor (YELLOW)	Procedure was not established to identify that all products and materials that stored near production machines or production lines should be protected (protection methods could be covered or moved away, etc.) to avoid contamination, which might lead the safety or legality of products were jeopardized, during maintenance operations conducted by factory maintenance technician.
2.5.1	Cleaning practices shall be completed so as to minimise risk of contamination.	Minor (YELLOW)	Most of production workshops and warehouses were kept clean and tidy, but by factory tour, some floors were not clean in assembly area.
2.6.1	No evidence of employee wearing jewellery, watches, other potentially contaminating apparel (check company's policy) observed.	Minor (YELLOW)	Jewelry control policy was established, but by factory tour, some workers were wearing jewelry such as watch, bracelet, etc. during working in assembly area.
2.8.2	Where there is a risk of contamination, glass windows shall be protected against breakage or product adequately protected.	Minor (YELLOW)	The glass control procedure was established. But most of the glass windows were not protected against breakage in the factory.
2.9.1	All Material Safety Data Sheets (MSDS) or equivalent documents are on file and up to date.	Minor (YELLOW)	Most of chemicals were well identified in the factory, MSDS were available in chemicals usage and storage areas, but by factory tour, one glue container used in SMT workshop was not well identified.
<b>3</b>	<b>PRODUCT CONTROL</b>		
3.4.1	Procedures shall be in place to prevent the contamination of raw materials, packaging and finished products.	Minor (YELLOW)	Most of raw materials, packaging and finished products were well stored to prevent contamination, but by factory tour, some finished products were stored close to the wall in finished products storage area, some accessories were placed on floor directly without pallets in hardware and electronic materials warehouse, etc.
3.4.2	Materials and products are used in the correct order. Where appropriate, materials and products are used within the allocated shelf of usage life.	Minor (YELLOW)	By factory tour, some metal materials in hardware and electronic materials warehouse and some packaging materials in packaging materials warehouse were not well identified with incoming date to ensure FIFO.
3.7.1	The company shall establish procedures for the identification, segregation, investigation and disposition of non-conforming raw materials (including packaging), work-in-progress and finished products.	Minor (YELLOW)	Most of non-conforming materials were well segregated, but by factory tour, some non-conforming materials were not well identified with reject cause, quantity, incoming date, etc. in non-conforming materials storage area.

The above reflects our findings for the particular factory in concern on the date of our service only. This report does not certify, confirm or imply: a) compliance with any government, industry or association regulations or standards, unless stated otherwise; or, b) the quality of any specific products manufactured by the factory/sellers/suppliers; or, c) the shipment of any specific products. This report does not discharge or release the factory/sellers/suppliers from their commercial, legal or contractual obligations with buyers in respect of products manufactured by the factory/sellers/suppliers. Our services, including reports and certificates, are subject to the General Conditions of Service of Bureau Veritas which have been sent to your company. They can be resent upon written request. This report cannot be partially copied. Any reader other than the party for which this report has been specifically issued is hereby informed that the General Conditions of Service of Bureau Veritas contain liability limitation provisions.  
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4	<b>PROCESS CONTROL</b>		
4.7.2	Measuring and monitoring equipment is routinely calibrated to recognised national standards.	Minor ( YELLOW )	Most of measuring and monitoring equipment was routinely calibrated, related calibration records were well maintained, but by factory tour, one set electronic balance used in hardware and electronic materials warehouse was not calibrated.
4.7.3	Records of equipment calibration and re-calibration are maintained.	Minor ( YELLOW )	By factory tour, one set electronic balance used in hardware and electronic materials warehouse was not calibrated, so no calibration record was maintained for it.

**Digital Photo Records**

		
<p>1) Factory exterior view, the factory only rent the 5th floor of this building</p>	<p>2) Factory name</p>	<p>3) Similar product category for client</p>
		
<p>4) Non-conforming materials storage area</p>	<p>5) Hardware and electronic materials warehouse</p>	<p>6) Finished products storage area</p>
		
<p>7) Packaging materials warehouse</p>	<p>8) Soldering paste storage area</p>	<p>9) IQC inspection area</p>
		
<p>10) IPQC inspection area</p>	<p>11) Function test area</p>	<p>12) FQC inspection area</p>

		
<p>13) Charging area</p>	<p>14) Coiling area</p>	<p>15) SMT workshop</p>
		
<p>16) Assembly and packing workshop</p>	<p>17) Drinking area</p>	<p>18) Work instruction</p>
		
<p>19) Sharp tool was well controlled and fastened to the workstation</p>	<p>20) First piece sample</p>	<p>21) MSDS</p>
		
<p>22) Some metal materials in hardware and electronic materials warehouse not well identified</p>	<p>23) Some packaging materials in packaging materials warehouse not well identified</p>	<p>24) Some floors were not clean in assembly area</p>



		
<p>25) One glue container used in SMT workshop not well identified</p>	<p>26) Some finished products stored close to the wall in finished products storage area</p>	<p>27) Some accessories placed on floor directly without pallets in hardware and electronic materials warehouse</p>
		<p style="text-align: center;">Nil</p>
<p>28) Some non-conforming materials not well identified in non-conforming materials storage area</p>	<p>29) One set electronic balance used in hardware and electronic materials warehouse not calibrated</p>	

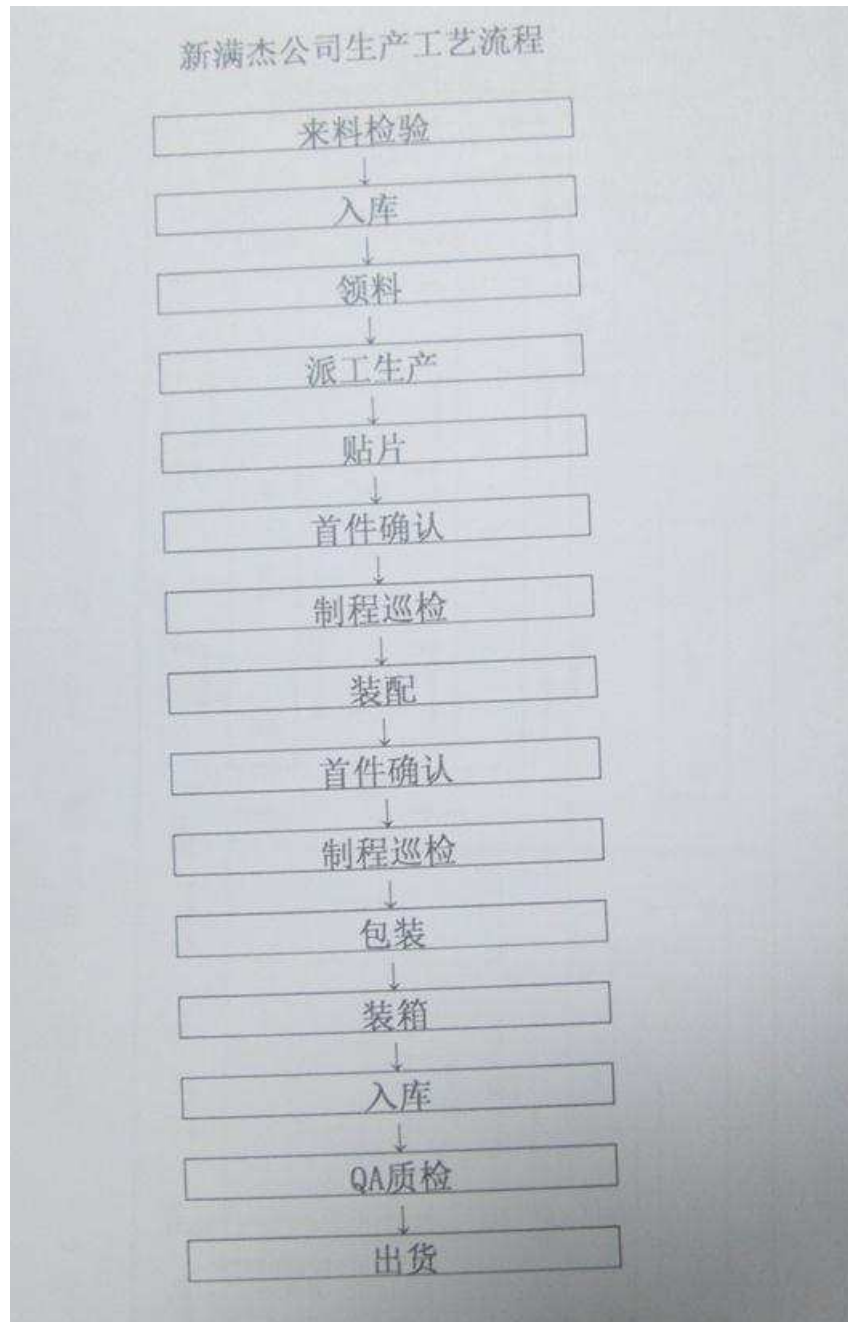
Main equipment list

深圳市新满杰电子有限公司  
机器设备清单

序号	设备名称	设备编号	型号规格	数量	存放地点	进厂日期	负责人	备注
1	铃木	01	SM7-2000	5台	新满杰车间	2018.5.10	李峰	
2	铃木	02	SM7-2100	1台	新满杰车间	2018.5.10	李峰	
3	三洋	03	TCM-1100	1台	新满杰车间	2018.5.10	李峰	
4	绕线机	04		8台	新满杰车间	2018.5.10	李峰	
5	印刷机	05	SP-3040	4台	新满杰车间	2018.5.10	李峰	
6	烤箱	06	SM0-4	5台	新满杰车间	2018.5.10	李峰	
7	真空炉	07	DZF-3	2台	新满杰车间	2018.5.10	李峰	
8	空压机	08		2台	新满杰车间	2018.5.10	李峰	
9	包钻	09	YF6324	1台	新满杰车间	2018.5.10	陈进	
10	清洗机	10	AG2-1PM	1台	新满杰车间	2018.5.10	陈进	
11	清洗机	11	AG2-1PM	1台	新满杰车间	2018.5.10	李进	
12	超声波机	12	CXT-200	2台	新满杰车间	2018.5.10	李进	
13	烘干机	13		1台	新满杰车间	2018.5.10	李进	
14	电子烤箱	14		1台	新满杰车间	2018.5.10	李进	
15	新满杰包装机	15		1台	新满杰车间	2018.5.10	李进	
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注：设备名称按( )-设备名称( )-个字的形式( )在( )写( )-( ) ( )

Manufacturing process chart



Organization chart

